

**Title**

**Interpersonal** brain synchronization in the right temporo-parietal junction during face-to-face economic exchange

**Abbreviated title**

**Interpersonal** brain synchronization and economic exchange

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**Abstract**

In daily life, **interpersonal** interactions are influenced by uncertainty about other people's intentions. Face-to-face interaction reduces such uncertainty by providing external visible cues such as facial expression or body gestures and facilitates shared intentionality to promote **belief of cooperative decisions and actual cooperative behaviors in interaction**. However, so far little is known about **interpersonal** brain synchronization **between two people** engaged in naturally occurring face-to-face interactions. In this study, we combined an adapted ultimatum game with **functional** near-infrared spectroscopy (fNIRS) hyperscanning to investigate how face-to-face interaction impacts **interpersonal** brain synchronization during economic exchange. Pairs of strangers interacted repeatedly either face-to-face or face-blocked, while **their activation was simultaneously measured** in the right temporo-parietal junction (rTPJ) and the **control region**, right dorsolateral prefrontal cortex (rDLPFC). Behaviorally, face-to-face interactions increased shared intentionality between strangers, **leading more positive belief of cooperative decisions and more actual gains in the game**. fNIRS results indicated **increased interpersonal brain** synchronizations during face-to-face interactions in rTPJ (but not in rDLPFC) with greater shared intentionality between partners. These results **highlighted the importance of rTPJ in collaborative social interactions** during face-to-face economic exchange and warrant future research that combines face-to-face interactions with fNIRS hyperscanning to study social brain disorders such as autism.

**Keywords:** social interaction, intention, temporo-parietal junction, face-to-face, fNIRS hyperscanning

## Introduction

Face-to-face interaction is one of the most common types of social interaction in our everyday life. With crucial external information (e.g., facial expression or body gestures) and rapid real-time feedback, it reduces the uncertainty about other people's intention. Thus, face-to-face interaction facilitates shared intentionality, in which people share psychological (cognitive, affective, and motivational) states with each other (Gilbert, 1989; Searle, 1995; Tuomela, 1995), leading to better understanding and collaborative social interactions (Bordia, 1997; Rocco, 1998; Valley *et al.*, 1998; Hill *et al.*, 2009).

Establishing shared intentionality during social interactions requires mentalizing (Frith and Frith, 2001), an ability that enables people to infer other's intention, beliefs, and goals (Hari and Kujala, 2009). Mentalizing recruits a sophisticated neural network, including medial prefrontal cortex, posterior cingulate, and temporo-parietal junction (TPJ) (Frith and Frith, 2006; Frith, 2007). Within the mentalizing network, the right TPJ (rTPJ) takes a critical role in establishing social context for behavior (Carter and Huettel, 2013) by enabling inferences about other agents' intentions (Saxe, 2006). For example, the rTPJ is activated in reading character's thoughts in stories (Saxe and Powell, 2006), engages differently in strategic deception in a two-person bargaining game (Bhatt *et al.*, 2010), and mediates joint attention between a pair of people during face-to-face interaction (Redcay *et al.*, 2010). Disrupting the rTPJ with transcranial magnetic stimulation causes deficits in inferring intentions and beliefs (Young *et al.*, 2010), while

activating rTPJ using transcranial direct current stimulation enhances the on-line control of self-other representations (Santiesteban *et al.*, 2012).

How to investigate the neural signatures of two-person social interactions has been on the forefront of social neuroscience during the last decade. One big challenge is to capture the naturally dynamic process of real interaction; another is to measure the neural signals of two persons' brain interacting simultaneously (Hari and Kujala, 2009). Previous research has combined two-person interactive economic exchange paradigms with functional MRI (fMRI) (Montague *et al.*, 2002; King-Casas *et al.*, 2005; Krueger *et al.*, 2007), EEG (Babiloni *et al.*, 2007a; Babiloni *et al.*, 2007b; Yun *et al.*, 2008; Astolfi *et al.*, 2010a, b; Fallani *et al.*, 2010) and functional near-infrared spectroscopy (fNIRS) (Cui *et al.*, 2012; Jiang *et al.*, 2012) hyperscanning to solve these two problems. **During naturally occurring face-to-face interaction, neural synchronization between couple of players has been found in two-person games, including simple cooperation and competition task (Funane *et al.*, 2011; Cui *et al.*, 2012), prisoner's dilemma game, card game and ultimate game (Babiloni *et al.*, 2007a; Yun *et al.*, 2008; Astolfi *et al.*, 2010a). Since mentalizing has crucial function in interaction, exploring the neural signatures of mentalizing system in face-to-face games with hyperscanning method could provide more information about the process of social interaction.**

In this study, we investigated how face-to-face interaction impacts **interpersonal** brain synchronization during economic exchange. We combined a revised ultimatum game with fNIRS hyperscanning, in which pairs of strangers either interacted face-to-face or face-blocked while their **interpersonal** brain activations were recorded in the rTPJ

(target region) and right dorsolateral PFC (rDLPFC) (control region), a region crucially involved in strategic decision-making during two-person economic exchange (Sanfey *et al.*, 2003; Knoch *et al.*, 2009; Bhatt *et al.*, 2010). We hypothesized that face-to-face compared to face-blocked interaction leads to greater shared intentionality between pairs of partners due to access of crucial external information (e.g., facial expression, body gestures) and results into greater cooperation. We further predicted that greater shared intentionality between partners is mirrored by greater **interpersonal** brain synchronizations in the rTPJ during face-to-face compared to face-blocked interaction due to its role in inferring others' intentions, beliefs, and goals.

## Materials & Methods

### Subjects

Two hundred and two healthy college students (resulting 101 same-gender pairs; face-to-face [FF]: 53 pairs with 29 female pairs, age (mean $\pm$ sd): 22.68 $\pm$ 2.07; face-blocked [FB]: 48 pairs with 24 female pairs, age: 22.20 $\pm$ 2.18) from Beijing Normal University (BNU) participated in our study for **monetary** compensation. Data from four pairs (FF: 2 males; FB: 1 male, 1 female) were excluded: for two pairs the signal were too noisy to be adjusted, for one pair the synchronization between behavior and fNIRS recording in the beginning of the experiment failed, and one pair pressed the wrong buttons for their decision. All participants signed written informed consent approved by the Institutional Review Board of the State Key Laboratory of Cognitive Neuroscience and Learning at BNU.

## Procedure

Pairs of strangers sat across two tables carrying two computer displays and interacted repeatedly in an adapted version of the ultimatum game (UG) (Güth *et al.*, 1982). In addition to the classic UG (a proposer (P) makes an offer and a responder (R) can either accept or reject the offer), we added two new stages to the game: (i) a stage where P had a chance to deceive R before making the offer and (ii) a stage where P had to judge whether R would accept or reject the offer and R had to judge whether P had told the truth or a lie. Adding these two stages provided a chance to measure **how successful pairs of partners understood each other's intention during economic exchange. Pairs were randomly assigned into** two condition: (i) in the face-to-face (FF) condition pairs needed to look at each other's face and P communicated the **total amount** and the offer orally to make interaction more ecologically valid (Note that we asked P to communicate only information regarding the **total amount and the offer**: for example, P told R that "I got 10" and "I give you 5"; and R did not speak at all during the experiment but responded through button presses instead.); (ii) in the face-blocked (FB) condition they were separated by a board and communicated through binary decisions through button presses on their computers (Fig. 1A). All manipulations of button presses were same under two conditions.

**At the beginning of the experiment, players were randomly assigned to fixed roles, either as P or R. Then a participant assigned as P was paired with a participant assigned as R and they played together for 54 rounds separated into three blocks of 18**

trials, each lasting about 8 minutes. An experimental trial consisted of six stages (**Fig. 1B**). In stage 1 (2 s), P received one of six **total amounts** as an endowment (Monetary units [MUs]: 4, 6, 8, 10, 12, or 14). In stage 2 (5 s), P communicated the **total amount** to R with a chance to deceive (Honesty Rate, HR) (e.g., P received 14 MUs, but told R the **total amount** was 10 MUs). In stage 3 (5 s), P split the **total amount** between players (**Offer Proportion, OP (percentage of offer to true total amount)**; Fairness Rate, FR (**percentage of trials that perceived as fair by R if is exactly 50% of the communicated total amount**)) (e.g., P gave R 5 MUs and kept 5 MUs). In stage 4 (5 s), both players judged each other's actions (with binary button presses: yes or no): P judged whether R **would accept the offer or not** and R judged whether P had told the truth or not. To determine players' intention to each **others'** behavior and its relationship to cooperation, we **calculated the percentage of trials that both players positively judged each other's action (i.e., P judged that R would accept the offer and R judged P did not tell a lie) to total 54 trials as the Shared Intentionality Rate, SIR**. In stage 5 (5 s), R decided whether to accept or reject the offer by a binary button press (yes vs. no) (Rejection Rate, RR). If R accepted the offer, both players received the allocated **total amount**; otherwise, both received nothing. In stage 6 (5 s), the final ("true") results were revealed to both players on the screen (e.g., P actually received 9 MUs, instead of 5 MU, and R 5 MUs). For the FF condition, face-to-face interaction was only required through stage 2 to 4 (i.e., in other stages, either P or R needed to check the screen for information). **Further, participants were asked to complete the Positive and Negative Affect Schedule (PANAS) scale (Watson *et al.*, 1988) to measure emotional effects during**

the dynamic interactions in this game, which includes positive items (e.g., attentive, interested, alert, excited, enthusiastic, inspired, proud, determined, strong and active) and negative items (e.g., distressed, upset, hostile, irritable, scared, afraid, ashamed, guilty and nervous, jittery). They also filled the Interpersonal Reactivity Index (IRI) scale (Davis, 1980) to control individuals' ability to adopt the partners' perspectives and feelings in this game. In this scale, the perspective-taking (PT) measures the tendency to spontaneously adopt other people's perspectives and see things on their sides; the fantasy (F) assesses predisposition to image the feelings and actions of characters in books, movies and plays into themselves; the empathic concern (EC) assesses the tendency to experience feelings of sympathy and compassion for unfortunate others; the personal distress (PD) assesses the "self-oriented" feelings of personal anxiety and discomfort in interpersonal settings. Finally, participants were told that their earned MUs would be transferred into real money based on their performance during game to incentivize real behaviors before the experiment. After participants finished the experiment and questionnaires, we debriefed and paid them privately around 25 RMB depending on their performance and additional compensation for their participation.

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### **NIRS Data Acquisition**



An ETG-4000 optical topography system (Hitachi Medical Company) with customized optode probe sets was employed to collect fNIRS recordings (**Fig. 1C**). A 3 x 5 optode probe set (**30mm optode distance**) with eight emitters and seven detector probes was used separately for each participant, including 19 recording channels with each channel having a sampling rate of 10 Hz. During the fNIRS recording, emitters released light into brain and detectors collected light passing through the brain regions. **Thus, recording channels are used to describe the brain region between emitter and detector from which most of the fNIRS signal is assumed to come.** Probes were attached to a flexible swimming cap, located on the head based on the international 10-20 EEG system. The caps were examined and adjusted to keep positions similar across subjects. **Channels measuring rDLPFC activity were set referenced to F4 and channels measuring rTPJ activity were set referenced to P6 (in order to cover the regions between P6 and CP6),** leading to 12 recording channels (CHs: 3, 4, 7, 8, 9, 11, 12, 13, 16, 17, 18, 21, 22) for rDLPFC and 7 (1, 5, 6, 10, 14, 15, 19) CHs for rTPJ (Jurcak *et al.*, 2007) (**Fig. 1C**). For each channel, the concentration changes in the oxyhemoglobin (oxy-Hb) and deoxyhemoglobin (deoxy-Hb) were determined based on the modified Beer–Lambert law (Cope and Delpy, 1988).

### Data Analysis

Behavioral data analysis was carried out using SPSS 17.0 (IBM Inc., New York, USA) with alpha set to  $p < 0.05$  (two-tailed). Behavioral data were normally distributed (Kolmogorov-Smirnov test) and homogeneous in variance (Bartlett's test). Mixed 3 x 2

analyses of variance (ANOVAs) on behavioral game measures (HR, OP, FR, SIR, RR) were performed with Time (Block1, Block2, Block3) as a within-subjects factor and Condition (FF, FB) as a between-subjects factor (Bonferroni corrected). Independent-samples *t*-tests for gains and empathy measures were performed between FF and FB (**Fig. 2**). Effect sizes for ANOVAs (partial Eta squared;  $[\eta_p^2]$  with 0.01, 0.06, and 0.14 as small-, medium-, and large-sized effects) and Cohen's *d* for *t*-tests (with 0.2, 0.5, and 0.8 as small-, medium-, and large-sized effects) were calculated.

**For the individuals' fNIRS data analysis, after preprocessed with initial time baseline correction, hrf low-pass filtering and wavelet minimum description length detrending in NIRS-SPM (Jang *et al.*, 2009; Ye *et al.*, 2009; Tak *et al.*, 2011), time series of oxy-Hb concentration changes of each channel of each subject were normalized into z-scores with means and standardizations. We tested oxy-Hb changes' tendency of differences between conditions on stage 2 to 4 across all channels with ANOVA analysis and bivariate Pearson correlations between proposers' and responders' oxy-Hb concentration changes and their behaviors (HR, OP, FR, RR).**

**Furthermore, we focused on the analysis of synchronization between two players' fNIRS data.** A 3-minute resting-state session was performed as a baseline before the experimental session, during which participants were instructed to relax and remain motionless as much as possible with eyes closed sitting in a silent environment (Lu *et al.*, 2010; Jiang *et al.*, 2012). During the experiment, the first 30-seconds of data were discarded for each block, resulting in approximately 500-seconds of task data per block.

fNIRS oxy-Hb time series on stage 2 to 4 (stages require face-to-face interactions in FF condition) were used to calculate the **interpersonal brain coherence as synchronization between pairs**. We applied the Wavelet Transform Coherence (WTC) method (Torrence and Compo, 1998; Grinsted *et al.*, 2004; Murphya *et al.*, 2009) and utilized the wavelet coherence [MATLAB© package](http://noc.ac.uk/using-science/crosswavelet-wavelet-coherence) (<http://noc.ac.uk/using-science/crosswavelet-wavelet-coherence>) (Grinsted *et al.*, 2004). The wavelet coherence measures the correlation between two signals' component on both frequency and time, and it has been successfully applied in two previous fNIRS hyperscanning studies (Cui *et al.*, 2012; Jiang *et al.*, 2012). **An example of raw data and WTC of one pair in our study were shown in Fig. 3A&B.** False discovery rate (FDR) correction **was** used to minimize the multiple comparison problem.

**Based on the WTC analysis of two time series (Fig. 3A), we found that the frequency band between 12.8 s and 51.2s (between 0.02 and 0.08 Hz) was more sensitive to our task and could remove high- and low- frequency noise as well. Then average coherence value in this band in the experimental session was computed to subtract the average coherence in the initial resting-state session** (Cui *et al.*, 2012; Jiang *et al.*, 2012). After **the coherence values were converted into a z-scores (Fisher z-transformation) (Chang and Glover, 2010), we performed one-sampled t-tests ( $p < 0.05$ , two-tailed, false discovery rate (FDR) corrected) for each channel for FF and FB conditions separately to identify significantly synchronized channels during the experiment. For channels with significant synchronization, independent-samples *t*-tests were computed to identify significant difference between FF and FB conditions. Next, for**

those significant channels between FF and FB condition, mixed 3 x 2 ANOVAs on coherence measures were performed with Time (Block1, Block2, Block3) as a within-subjects factor and Condition (FF, FB) as a between-subjects factor. Finally, bivariate Pearson correlations between coherence and shared intentionality measures were computed to reveal brain-behavior associations.

## Results

### Behavioral results

For honesty (HR), **Offers (OP)**, and fairness (FR), no significant main effects of Time (**HR**:  $F(2,190)=1.86$ ,  $p=0.16$ ,  $\eta_p^2=0.02$ ; **OP**:  $F(2,190)=0.09$ ,  $p=0.91$ ,  $\eta_p^2=0.001$ ; **FR**:  $F(2,190)=0.77$ ,  $p=0.47$ ,  $\eta_p^2=0.008$ ) and Condition (**HR**:  $F(1,95)=0.43$ ,  $p=0.52$ ,  $\eta_p^2=0.004$ ; **OP**:  $F(1,95)=0.005$ ,  $p=0.94$ ,  $\eta_p^2<0.001$ ; **FR**:  $F(1,95)=0.37$ ,  $p=0.55$ ,  $\eta_p^2=0.004$ ) were found; neither for the interaction effect Condition x Time (**HR**:  $F(2,190)=0.58$ ,  $p=0.56$ ,  $\eta_p^2=0.006$ ; **OP**:  $F(2,190)=0.87$ ,  $p=0.42$ ,  $\eta_p^2=0.009$ ; **FR**:  $F(2,190)=0.42$ ,  $p=0.66$ ,  $\eta_p^2=0.004$ ). For shared intentionality (SIR) and rejection (RR), a significant main effect of Condition was revealed (**SIR**:  $F(1,95)=7.01$ ,  $p=0.009$ ,  $\eta_p^2=0.07$ ; **RR**:  $F(1,95)=8.28$ ,  $p=0.005$ ,  $\eta_p^2=0.08$ ), indicating that **pairs** in FF showed greater shared intentionality and less rejections than **pairs** in FB (**Fig. 2A**). For shared intentionality, a significant main effect of Time was found (**SIR**:  $F(2,190)=11.33$ ,  $p<0.001$ ,  $\eta_p^2=0.11$ ), showing that shared intentionality kept constant across time for **pairs** in FF (**SIR**:  $F(2,94)=2.20$ ,  $p=0.12$ ,  $\eta_p^2=0.05$ ), but decreased for **pairs** in FB (**SIR**:  $F(2, 94)=7.01$ ,  $p<0.001$ ,  $\eta_p^2=0.13$ ) (**Fig. 2C**). **Further, shared intentionality rate showed significantly positive correlation**

with honest rate (FF:  $r=0.78$ ,  $p<0.001$ ; FB:  $r=0.87$ ,  $p<0.001$ ), offer proportion (FF:  $r=0.44$ ,  $p=0.001$ ; FB:  $r=0.40$ ,  $p=0.005$ ), fairness rate (FF:  $r=0.47$ ,  $p<0.001$ ; FB:  $r=0.36$ ,  $p=0.01$ ), and negative correlation with reject rate (FF:  $r=-0.79$ ,  $p<0.001$ ; FB:  $r=-0.70$ ,  $p<0.001$ ) in both conditions. The response time of shared intentionality also showed a significant main effect of Condition, indicating that pairs judged each other's behavior more quickly in FF than in FB ( $F(1,95)=11.23$ ,  $p<0.001$ ,  $\eta_p^2=0.11$ ). Gains were also higher for pairs in FF than in FB ( $t(95)=2.92$ ,  $p=0.004$ ,  $d=0.59$ ) (Fig. 2B). No difference of PANAS scores of pairs between FF and FB conditions (positive items:  $t(95)=1.36$ ,  $p=0.18$ ,  $d=0.28$ ; negative items:  $t(95)=-1.12$ ,  $p=0.27$ ,  $d=0.23$ ) were found. The IRI measures of dispositional empathy (PT, F, EC, PD) did not differ for pairs (P, R) between FF and FB (P: PT:  $t(93)=1.12$ ,  $p=0.26$ ,  $d=0.23$ ; F:  $t(93)=1.15$ ,  $p=0.25$ ,  $d=0.24$ .; EC:  $t(93)=1.95$ ,  $p=0.06$ ,  $d=0.40$ ; PD:  $t(93)=0.93$ ,  $p=0.35$ ,  $d=0.19$ ; R: PT:  $t(95)=1.26$ ,  $p=0.21$ ,  $d=0.26$ ; F:  $t(95)=0.15$ ,  $p=0.88$ ,  $d=0.03$ .; EC:  $t(95)=1.27$ ,  $p=0.21$ ,  $d=0.26$ ; PD:  $t(95)=1.27$ ,  $p=0.21$ ,  $d=0.26$ ) (Note that data from two participants had to be removed because of arbitrary responses.).

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### FNIRS Results

Overall, z-scores of players' oxy-Hb concentration changes showed a significantly decreasing tendency in FF than in FB across all channels ( $F(1,95)=11.44$ ,  $p=0.001$ ,

$\eta_p^2=0.11$ ). For the correlation between oxy-Hb changes and behaviors, some channels in rTPJ region showed significant correlations only in FF, particularly for the responder. That is, the oxy-Hb of responder in CH14 and CH19 were negatively correlated with HR and OP, and positively correlated to RR ( $ps<0.04$ ) in FF but not in FB ( $ps>0.09$ ) (Table 1).

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For the rTPJ region, one-sample t-tests revealed significant **interpersonal** brain synchronizations **in the band between 0.02Hz and 0.08 Hz** in CH14 ( $t(50)=3.04$ ,  $p=0.004$ ) and CH5 ( $t(50)=2.93$ ,  $p=0.005$ ) for FF and in CH19 for FB ( $t(45)=3.42$ ,  $p=0.001$ ) (FDR corrected) (**Fig. 3C**). Further, independent-samples t-test (uncorrected) showed that only CH14 ( $t(95)=2.05$ ,  $p=0.04$ , *Cohen's d*=0.41) had a higher synchronization in FF compared to FB (**Fig. 3D**). Neither CH5 ( $t(95)=1.61$ ,  $p=0.11$ , *Cohen's d*=0.33) nor CH19 ( $t(95)=-0.77$ ,  $p=0.45$ , *Cohen's d*=0.16) showed such a difference. To test detailed effects in CH14, mixed ANOVAs on coherence measures showed no significant main effect of Time ( $F(2,190)=2.15$ ,  $p=0.12$ ,  $\eta_p^2=0.02$ ) or an interaction effect of Condition x Time ( $F(2,190)=0.18$ ,  $p=0.84$ ,  $\eta_p^2=0.002$ ). Moreover, coherence measure in CH14 was positively correlated with shared intentionality for FF (SIR:  $r=0.28$ ,  $p=0.046$ ), but not for FB (SIR:  $r=0.02$ ,  $p=0.89$ ). No significant correlations were found in any other channels (**Fig. 4**). Finally, no significant synchronizations were found in rDLPFC channels for both FF and FB, neither for the one-sample t-tests ( $ps>0.09$ , FDR corrected) nor for the

independent-samples *t*-tests ( $p > 0.1$ , uncorrected) (Fig. 3C&D).

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## Discussion

In this study, we investigated how face-to-face interaction impacts **interpersonal** brain synchronization during two-person economic exchange by combining an economic exchange game paradigm with fNIRS hyperscanning. Pairs of strangers interacted repeatedly either face-to-face or face-blocked while their brain activations were simultaneously recorded in rTPJ (target region) and rDLPFC (control region). Our findings revealed that face-to-face interactions increased shared intentionality, **the positive belief of cooperative decisions of each other** and facilitated actual cooperation between partners compared to face-block interactions. **During face-to-face interaction, the responder's brain activity in rTPJ region decreased when the proposer was more honest and offered more proportion, and increased when the responder made more rejections.** The **interpersonal** brain synchronization in rTPJ (but not in rDLPFC) was greater during face-to-face compared to face-block interactions and **interpersonal** brain synchronization in rTPJ increased with shared intentionality between partners.

In everyday life, uncertainties about other people's intention influences interpersonal interactions. Previous research has shown that face-to-face interaction reduces such uncertainty by providing social cues (i.e., external visible cues such as facial expression or body gestures) (Okdie *et al.*, 2011). Face-to-face interactions allows people to share psychological states (Gilbert, 1989; Searle, 1995; Tuomela, 1995), **understand each other better** (Bordia, 1997) **and develop a** better mental model about each other (Hill *et al.*, 2009). Our behavioral results support these earlier findings by showing that face-to-face compared to face-blocked interactions elicited greater shared intentionality between partners resulting in less rejection and more quick intention judgment; therefore, facilitating cooperation such that proposers were more likely to infer that responders would accept their offer and responders were more likely to believe that proposers told the truth. During face-to-face interactions, both players probably used external visible cues such as facial expression and body gestures to infer their partner's psychological states (i.e., intentions, beliefs, goals), which resulted into appropriate decisions over time leading to an increased cooperation and higher earnings for both players. In addition, the correlation between shared intentionality and **other behavioral measurements** in both conditions confirmed that the differences between the two conditions could not have been explained by lack of engagement of the players, **and highlight the effect of face-to-face interaction.**

We did not find any differences in the deceptive and unfair behavior between conditions. Previous studies that comparing honesty deception behavior in face-to-face vs. computer-based **interaction** find inconsistent results. On the one hand, some studies show



that partners in face-to-face compared to computer-mediated and written interactions elicit less deception because of greater social or normative pressure in face-to-face interaction (Bordia, 1997; Valley *et al.*, 1998). On the other hand, another study **has** found greater deceptive behavior in face-to-face than in computer-mediated communication for the availability of rich cues and proactive action based on partner's feedback (Lewis and George, 2008). In our study, deceptive and unfair strategies were discouraged independently of the interaction condition, since both the proposer and responder would have found out about the true outcome at the end of each round of the game. **In addition, post-measurements of emotions did not show difference between conditions. It is hard to directly discuss the effects of emotion on the interaction in the context of event-related design in our study, since pairs interacted repeatedly many times and might have different kinds of emotions during different trials.**

On the neural level, **responders' activity in rTPJ decreased with proposers' honesty, offers, and increased with responders' rejection in face-to-face interaction, indicating the role of face-to-face interaction in reducing uncertainty. Specifically,** pairs interacting face-to-face showed higher **interpersonal** brain synchronization in rTPJ than those interacting face-blocked. Only during face-to-face interaction synchronization in rTPJ increased with greater shared intentionality between partners. Previous studies have found that TPJ establishes a social context for behavior by **being a convergence** zone for attention, memory, language, and social cognition (Carter and Huettel, 2013). Moreover, TPJ plays an unique and independent role in processing social information about future behavior (Carter *et al.*, 2012). Furthermore, a rich body of evidence indicates

that rTPJ is involved in mentalizing (Frith and Frith, 2003), reasoning others' desires and beliefs (Saxe, 2006), and representing others' intention (Koster-Hale *et al.*, 2013). The observed **interpersonal** brain synchronization in rTPJ might be an indicator of shared intentionality between partners. Using external cues from each other, partners were able to better infer the other person's intentions to build a correct mental model about each other, resulting into greater cooperation during the economic exchange. Besides mentalizing, TPJ has also a more general function in attentional orientation (Decety and Lamm, 2007; Mitchell, 2008). For example, previous fMRI studies have found that rTPJ mediates joint attention between people during face-to-face interactions (Redcay *et al.*, 2010). Therefore, the increased **interpersonal** brain synchronization in rTPJ might just indicate an alignment of joint attention during face-to-face interaction. However, such an attentional account could not explain the correlation in rTPJ between **interpersonal** brain synchronization and shared intentionality. **In addition, a previous EEG hyperscanning study (Babiloni *et al.*, 2007b) that required card players to indicate the card by voice has found neural connection in brain regions (prefrontal and anterior cingulated cortex) different from our study, indicating that voice report by proposer only in face-to-face condition might not explain the neural differences in our study.**

In contrast, no **interpersonal** brain synchronization differences were found in rDLPFC, a region consistently shown to be engaged in strategic decision-making (Miller and Cohen, 2001), for example, in mediating cognitive control and goal maintenance during economic exchange (Miller and Cohen, 2001; Sanfey *et al.*, 2003; Knoch *et al.*, 2009). **And right frontocentral regions showed synchronized neural oscillations in an**

**EEG hyperscanning study with the classic ultimate game, in which behaviors of players were modulated in face-to-face interaction (Yun *et al.*, 2008). However, in our task, we did not find differences in deceptive and unfair behaviors comparing the face-to-face with the face-blocked condition, indicating that different interaction styles did not influence strategic decision-making; and, therefore, diminished interpersonal brain synchronization in rDLPFC. Overall, the precise role of the rDLPFC in human face-to-face interaction is of great interest and requires additional investigation in future studies.**

**There are a couple of limitations for the present study. First, we cannot directly exclude the effect of voice reporting on the synchronization in rTPJ based on shared intentionality, although this region has been previously reported unrelated to voice report during social interaction and joint attention (Redcay *et al.*, 2010) as well as in a card game with voice report (Babiloni *et al.*, 2007b). Second, we cannot dismiss the potential effects of emotion on the establishment of shared intentionality during the economic exchange, since emotion plays an important role during cooperation (Wubben *et al.*, 2009) and bargaining (Lelieveld *et al.*, 2012). Future studies are needed with specific emotional priming or dynamic emotional measurement during the social interactions to provide direct evidence.**

In summary, our study revealed an **interpersonal** brain synchronization in rTPJ during naturally occurring face-to-face economic exchange, confirming earlier evidence that this region is engaged in inferring others' mental states such as goals, intentions, and desires (Overwalle, 2009; Overwalle *et al.*, 2009). Face-to-face interaction plays not only

a unique role in human's ontology but also in human's phylogeny. For example, neonates and infants show innate preferences for faces and develop gradually intra- and interpersonal coordination during face-to-face communication (Frith and Frith, 2003; Schilbach *et al.*, 2012). Future studies on the developmental perspective of naturally occurring face-to-face interactions and its underpinning neural signatures that combine fNIRS hyperscanning with social exchange paradigms are warranted, especially for clinical investigations that target social brain disorders such as autism spectrum disorders.

**Conflict of Interest:** The authors declare no competing financial interests.

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## Figure Legends

**Figure 1. Experimental Design** *A, Type of interaction.* Pairs of players (proposer [P], responder [R]) interacted in either face-to-face (FF) or face-blocked (blocked with a board, FB). *B, Experimental procedure.* The game was composed of six stages: after P sees the **true total amount** (stage 1), P tells R how much the **total amount** is (stage 2), P makes an offer to R (stage 3), both P and R judge each other's actions (stage 4), R decides to accept or reject (stage 5), both P and R see the outcome (stage 6). The analyses were focused on stage 2 to 4 (red dotted line frame) during the mutual interaction between P and R. *C, fNIRS set up.* NIRS data were recorded from the right TPJ and DLPFC localized by the international 10-20 system. Red dots are the emitters and blue dots are the detectors. Measured channels (CH) between pairs of emitter and detector were marked as red numbers. **rTPJ was marked (yellow) with the MNI coordinate from previous studies** (Saxe and Powell, 2006; Redcay *et al.*, 2010).

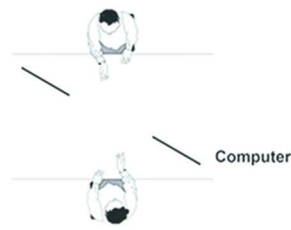
**Figure 2. Behavioral Results** *A, Game measures.* The comparison between face-to-face (FF) and face-block (FB) **interactions** only revealed significant differences for shared intentionality rate and rejection rate, but not for honest rate and fairness rate. *B, RT (response time) of and Gains in Game.* Pairs **made positive judgment of each other's behavior (shared intentionality)** more quickly and gained more **money** in FF than in FB. *C, Time effect.* Shared intentionality rate decreased across blocks in FB but not in FF (\* $p < 0.05$ , \*\* $p < 0.01$ ). Error bars indicate standard errors.

**Figure 3. fNIRS Results** *A, Raw oxy-Hb time courses.* Raw oxy-Hb time courses of Proposer (P) (blue) and Responder (R) (red) in first ten trials in one block from channels in rTPJ in FF (i.e., raw oxy-Hb time courses in Channel 14 of P and R in a representative pair). *B, Synchronization indicated by coherence.* Wavelet transform coherence (WTC) based on raw oxy-Hb signal from channel 14 from P and R in the same representative pair in FF. The higher coherence encoded by red is in the task frequency band (12.8s~51.2s). *C, One-sample t-test of interpersonal brain synchronization.* CH5 and CH14 in the FF, CH19 in the FB condition showed significant synchronization (FDR corrected). *D, Comparison of synchronization between conditions.* Only CH14 but not CH5, CH19 or any channel in rDLPFC (bars for CH16, CH8, and CH13 are given as samples) demonstrated notably greater synchronization in FF compared to FB ( $*p < 0.05$ ). Error bars indicate standard errors.

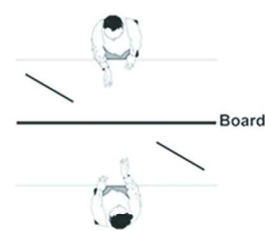
**Figure 4. Correlations.** (Left) Correlation r-maps of synchronization indexed by increased coherence and shared intentionality rate among all channels for FF and FB **interactions.** (Right) The correlation between **interpersonal** brain synchronization and shared intentionality rate in the rTPJ (CH14) was only significant in the FF but not in FB ( $*p < 0.05$ ).

**Table 1.** Correlation between proposers' and responders' oxy-Hb concentration changes (z-scores) and their behaviors in rTPJ region (HR: honesty rate; OP: offer proportion; FR: fairness rate; RR: rejection rate)

### A Face-to-Face



### Face-Blocked



### B Procedure

Proposer	The total amount is 10 2s	The total amount is ____ 5s	The offer is ____ 5s	R will accept or not 5s	Waiting 5s	P ____ R ____ 5s
Responder	Waiting	The total amount is ____ Stage2: P told the total amount honestly or not	The offer is ____ Stage3: P gave the offer	P have been honest or not Stage4: P&R judged each other	Accept or Reject Stage5: R decided to accept or not	P ____ R ____ Stage6: P&R saw the results

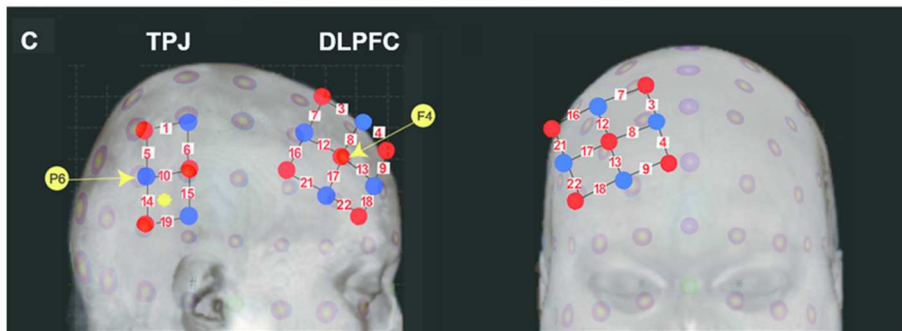


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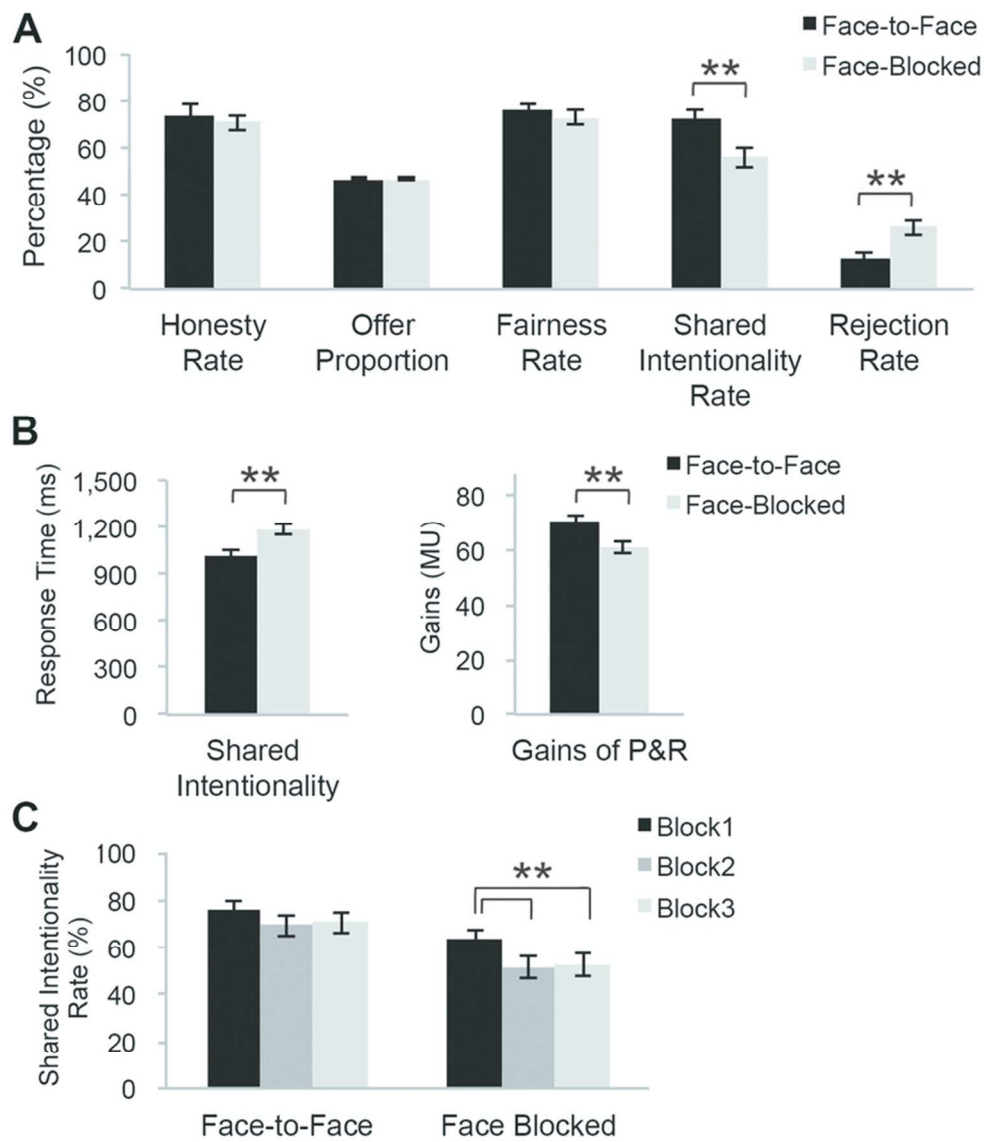


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35x40mm (600 x 600 DPI)

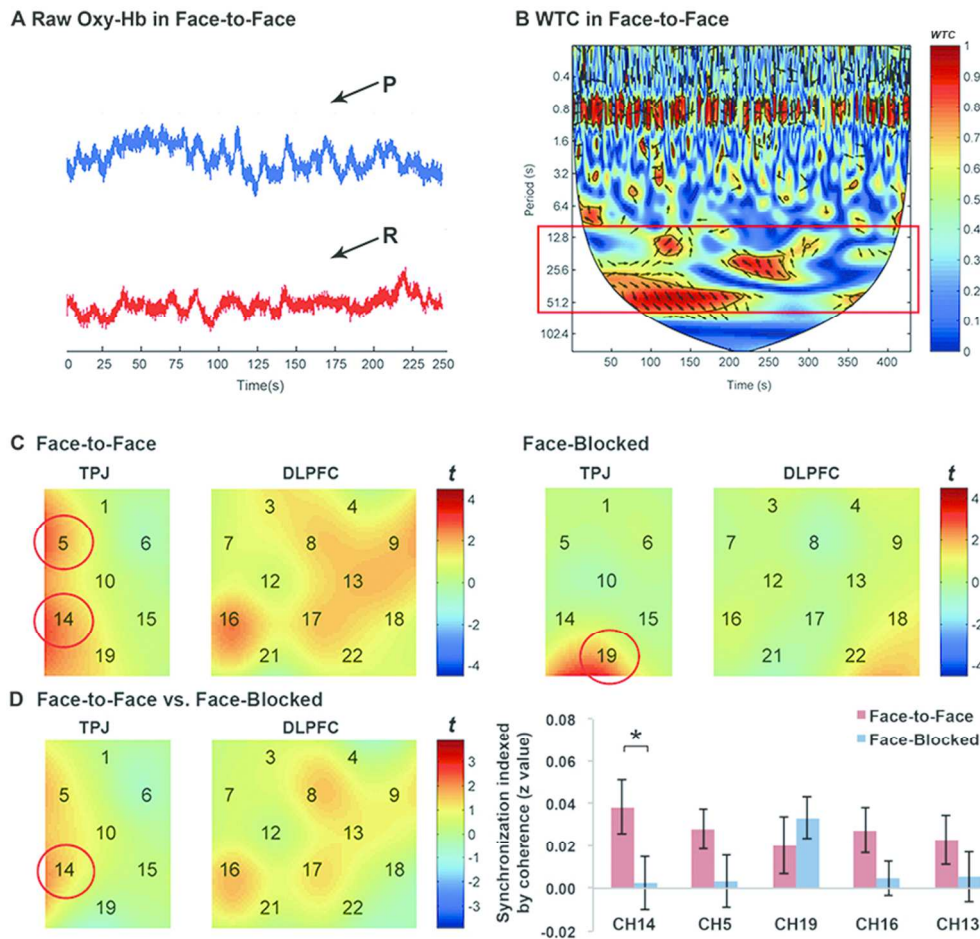


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79x75mm (300 x 300 DPI)

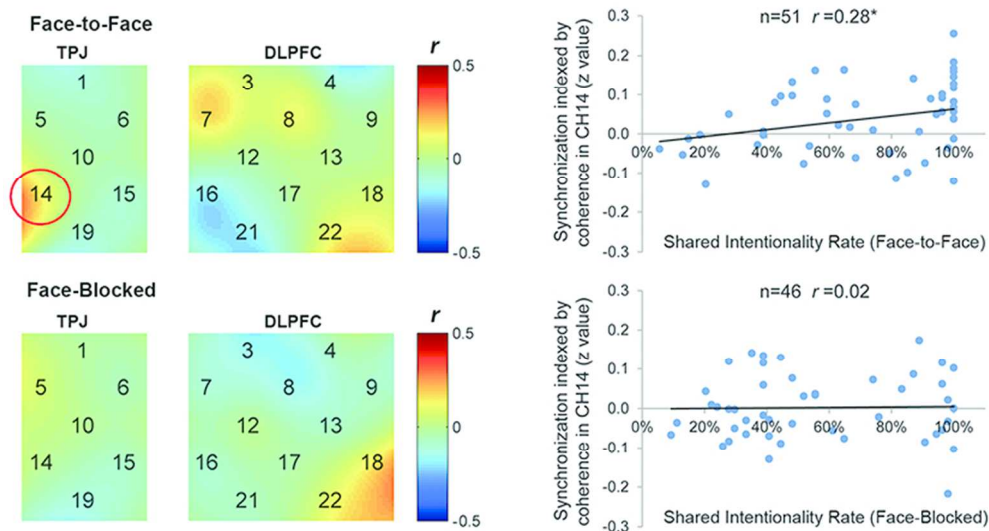


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70x38mm (300 x 300 DPI)

**Table 1.** Correlation between proposers' and responders' oxy-Hb concentration changes (z-scores) and their behaviors in rTPJ region (HR: honesty rate; OP: offer proportion; FR: fairness rate; RR: rejection rate)

Channel	Correlation( <i>r</i> ) between Oxy-Hb changes and behaviors							
	HR		OP		FR		RR	
	FF	FB	FF	FB	FF	FB	FF	FB
<i>Proposer</i>								
CH14	-0.16	-0.25	-0.11	-0.004	-0.35*	-0.25	0.03	0.19
CH19	0.17	-0.22	-0.03	0.08	0.004	-0.20	0.002	0.14
<i>Responder</i>								
CH14	-0.35*	-0.03	-0.39**	0.003	-0.05	0.25	0.35*	0.04
CH19	-0.28*	-0.09	-0.30*	0.10	-0.02	0.02	0.30*	0.04

\* $p < 0.05$ , \*\* $p < 0.01$ .